

STATE OF NEW YORK OFFICE OF THE ATTORNEY GENERAL

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December 11, 2019

Via ECF

The Honorable Lois Bloom United States District Court for the Eastern District of New York Theodore Roosevelt United States Courthouse 225 Cadman Plaza East Brooklyn, NY 11201

Re: Capogrosso v. Gelbstein, et al., No. 18 Civ. 2710 (MKB) (LB)

Dear Judge Bloom,

This Office represents Defendants Alan Gelbstein, Ida Traschen, and Danielle Calvo (collectively, the "State Defendants"), as well as Mark Schroeder, in his official capacity as Commissioner of the New York State Department of Motor Vehicles (the "DMV Commissioner" or "DMV") in the above-referenced matter. In this action, the Plaintiff, an attorney acting *pro se*, has alleged claims against the State Defendants in both their official and individual capacities. See Complaint, Dkt. No. 1 ¶¶ 19, 21, 25. Because each of defendants Gelbstein, Traschen, and Calvo have left the offices they formerly occupied, I write to request that the Court substitute the DMV Commissioner as the proper defendant for the Plaintiff's official-capacity claims, pursuant to Fed. R. Civ. P. 25(d). This substitution would have no effect on the claims against the State Defendants in their individual capacities.

"[S]uits against officers in their official capacity, which generally seek injunctive relief, are directed at the office itself." <u>Tanvir v. Tanzin</u>, 894 F.3d 449, 459 n.7 (2d Cir. 2018) (citing Fed. R. Civ. P. 17(d)). However, defendants Gelbstein, Traschen, and Calvo all no longer occupy the offices they held at the time of the events alleged in the Plaintiff's complaint. Gelbstein and Traschen have left government service, while Calvo has left DMV and now works for the New York State court system. As a consequence, prospective injunctive relief against the

absence of such an order does not affect the substitution." Id.

¹ Technically, the substitution happens "automatically" once a public official leaves office, pursuant to Rule 25(d). However, the State Defendants make this motion in order to have the change reflected on the docket, for the sake of clarity and given the multiple *pro se* parties in this action. "The court may order substitution at any time, but the

State Defendants in their official capacities would be futile, since they no longer have the ability to accommodate the Plaintiff's demands.

When a public officer "ceases to hold office while the action is pending[,] the officer's successor is automatically substituted as a party." Fed. R. Civ. P. 25(d). In the present matter, the State Defendants are mid-level employees without clear successors. It would be more appropriate and efficient to substitute the DMV Commissioner as the defendant for the official capacity claims, since "it is [the Commissioner] who has the power to order that [Plaintiff] be accommodated." Williams v. Annucci, 895 F.3d 180, 187 (2d Cir. 2018); cf. Fed. R. Civ. P. 25 advisory committee note (1961) (substitution of public officer in official capacity suit should "apply whenever effective relief would call for corrective behavior by the one then having official status and power, rather than one who has lost that status and power through ceasing to hold office"). The DMV Commissioner is an appropriate defendant because "[i]n an official capacity suit, the real party in interest is the governmental entity and not the named official." Tanvir, 894 F.3d at 459 (quoting Hafer v. Melo, 502 U.S. 21, 25 (1991)).

I have reached out to the *pro se* attorney Plaintiff, who does not consent to any substitution. We thank the Court for its time and attention to this matter.

Respectfully submitted,

/s/ James M. Thompson
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